

# **Tianqi Lithium Corporation Responsible Supply Chain Appeal Management Procedure**

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## Chapter 1 Purpose

**Article 1** This procedure is formulated to maintain the compliance, social responsibility, environmental sustainability and ethical procurement principles of the supply chain, strengthen the Company's responsible supply chain management, establish a fair, transparent and efficient appeal handling process, encourage internal employees and external stakeholders to put forward opinions, suggestions or appeals regarding potential risks, management defects and improper behaviors throughout the Company's supply chain operation process, and standardize the management of responsible supply chain appeals of the Company.

## Chapter 2 Application Scope

**Article 2** This procedure applies to the management of all responsible supply chain appeals of Tianqi Lithium Corporation (hereinafter referred to as the "Listed Company" or the "Company") and all its domestic and overseas affiliates and subsidiaries.

## Chapter 3 Institutions and Responsibilities

**Article 3 Responsible Minerals Supply Chain Working Group:** It shall be responsible for coordinating the acceptance and handling of supply chain-related appeals, conducting investigations and communications, putting forward handling suggestions, and ensuring the fair, transparent and efficient operation of the appeal process.

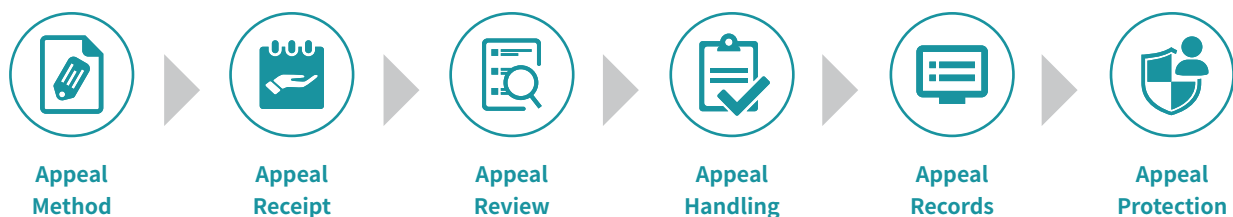
## Chapter 4 Terms/Definitions

**Article 4.1 Appellant:** Refers to the right claimant who proactively initiates an appeal and submits evidence and claims (i.e., the initiator of the appeal process).

**Article 4.2 Respondent:** Refers to the specific appeal target clearly identified by the appellant, who is required to respond to or defend against the appeal content and serves as the responding entity in the appeal process.

## Chapter 5 Complaint Management Process

### Article 5.1 Appeal Mechanism



## Article 5.2 Appeal Channel

(1) All employees, senior management, board members, suppliers, relevant partners, the general public and other stakeholders of the Company may file appeals through channels such as phone, email and mail.

**Appeal Phone: +86 028 8515 1231**

**Appeal Email: [Procurement@tianqilithium.com](mailto:Procurement@tianqilithium.com)**

**Appeal Address: Tianqi Lithium Headquarters, 166 Hongliang West 1st Street, Tianfu New Area, Chengdu City, Sichuan Province, China.**

(2) The Company designates specific personnel to receive each opinion, suggestion or appeal submitted by stakeholders, and shall provide feedback to the appellant confirming the receipt of the appeal materials within 5 working days after receipt.

## Article 5.3 Appeal Content

### 5.3.1 Acceptable Appeal Scope

(1) Compliance issues: Refer to acts in the Company's supply chain operations that violate international, national and industry due diligence standards (such as the OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas (3rd Edition), China Mineral Supply Chain Due Diligence Guidelines (2nd Edition) or the Company's public policies and internal management systems.

(2) Business risks in high-risk areas: Suppliers fail to implement risk control measures for procurement in conflict or high-risk areas, conceal business information related to high-risk areas, engage in improper behaviors such as violating local regulations or human rights, or losing control over outsourcing management.

(3) Serious violations: Behaviors involving commercial bribery, forced labor, illegal discharge of pollutants, disruption of community relations, major safety hazards, and other acts that damage the Company's reputation and business.

(4) Breach of contract: Suppliers violate compliance agreements signed with the Company, including false commitments, refusal to cooperate with investigations and rectifications, repeated violations, etc.

### 5.3.2 Non-Acceptance Circumstances

(1) Irrelevant matters: External issues not directly related to the Company's supply chain, or subjective expressions based on personal emotions.

(2) Lack of factual basis: Fail to provide verifiable materials, fabricated or exaggerated content, use of offensive language, or acts such as malicious reporting or false accusations.

Appeals must be based on true, objective and verifiable facts. Fabrication or slander is strictly prohibited. The Company reserves the right to refuse to accept malicious appeals and pursue legal responsibility.

## Article 5.4 Appeal Handling

5.4.1 The Responsible Minerals Supply Chain Working Group conducts a preliminary assessment to determine whether the appeal content falls within the scope of this procedure, whether the content is clear, and whether the evidence is sufficient.

(1) If the appeal content is not within the scope of this procedure, the decision of non-acceptance shall be fed back to the appellant;

(2) If the appeal content is unclear or evidence is insufficient, the appellant will be requested to supplement relevant materials and information within a specified period, and a re-examination will be conducted after the supplementary materials are provided;

(3) If the appeal content is clear and evidence is sufficient, the decision to accept the appeal will be issued to the appellant.

5.4.2 The Responsible Minerals Supply Chain Working Group analyzes the issues based on the appeal content and puts forward handling opinions. Appeal handling may be conducted through methods such as dialogues and consultation meetings, review of written materials, and expert consultation. The working group will contact and organize communication with the respondent regarding the appeal issues and research analysis, and the respondent shall respond to or clarify the issues. The Responsible Minerals Supply Chain Working Group shall make a decision on the appeal handling within 15 working days and inform the appellant of the handling result. If the appeal involves confidential or non-disclosable matters, it may provide a proper feedback on the conclusion, the feedback information shall comply with the Company's confidentiality management regulations and be incorporated into improvement measures in accordance with the Company's relevant management standards.

## **Article 5.5 Appeal Records**

All received appeals must be recorded, including the following contents:

- (1) Who submitted the appeal and when;
- (2) The type, issue or subject of the appeal, and the information submitted;
- (3) Investigation records of the appeal;
- (4) Disposal records of the appeal.

## **Article 5.6 Appellant Protection**

The Responsible Minerals Supply Chain Working Group shall strictly keep appeal information confidential, strictly prohibit the transfer of appeal materials to the respondent, strictly prohibit retaliation against the appellant, and the legitimate rights and interests of the appellant shall be protected. Those who causes serious information leakage, and damage to the legitimate rights and interests of the appellant shall be transferred to the judicial authorities for handling.